

Research report

# An electrophysiological study of errorless learning

Antoni Rodriguez-Fornells<sup>a,b</sup>, Christine Kofidis<sup>a</sup>, Thomas F. Münte<sup>a,\*</sup>

<sup>a</sup>Department of Neuropsychology, Otto von Guericke University, Universitätsplatz 2, Gebäude 24, 39106 Magdeburg, Germany

<sup>b</sup>Research group in Cognitive Neuroscience, University of the Balearic Islands, Spain

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## Abstract

Errorless learning, i.e. learning under conditions that prevent the generation of false memory candidates, has been shown to lead to enhanced memory performance during retrieval compared to errorful, trial-and-error, learning. These two learning methods were implemented in a word-stem completion format and contrasted in young healthy subjects with stimulus-locked and response-locked event-related brain potentials (ERPs) being recorded during memory retrieval. Retrieval accuracy was enhanced for items studied under errorless conditions. Stimulus-locked ERPs showed a modulation of a right frontal effect that differed in amplitude and topography for items learned under errorful and errorless conditions. This effect is interpreted as reflecting post-retrieval processes. In the response-locked ERPs, a typical error-related negativity (ERN) was observed that was most prominent for false alarm trials in the errorless condition, of medium amplitude for hits and false alarms in the errorful condition, smaller for hits in the errorless condition and virtually absent for items correctly or incorrectly classified as new. We propose that the amplitude modulation of the ERN is related to the activity of an internal monitoring device checking the veridicality of a retrieved memory trace.

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## 1. Introduction

One of the critical processes in human memory is the detection and elimination of memory errors during retrieval. That retrieval can be erroneous is illustrated by the numerous studies on false memories (see for a review Ref. [55]). Some authors have provided evidence for a self-monitoring or error-checking process, which evaluates the output of retrieval operations and tries to inhibit possible memory intrusions [6,42,43,46,62,64]. Moscovitch [42], for example, separated the memory retrieval component from the evaluation of its output. This evaluative process is thought to check the retrieved information for errors and is considered to be self-initiated, strategic, and effortful [43]. Burgess and Shallice [6] introduced a “memory editor” or monitoring stage as part of their supervisory attentional system, thus placing it

in the context of executive control functions. The aim of this post-retrieval process is to verify the appropriateness of the retrieved information in a given context. Similar proposals have been made by Norman and Bobrow [45] and, more recently, by Koriat and Goldsmith [36]. The existence of an error-checking mechanism has been supported by several studies [46,62,64]. Its breakdown gives rise to confabulatory disorders [46,62]. Different neuroimaging studies [1,26,27,65] have pointed to the right dorsolateral prefrontal cortex as supporting the error-checking/monitoring process (for a review, see Ref. [59]).

In the present study, in order to further characterize the brain signatures of this executive control component of memory retrieval, we contrasted event-related brain potentials to items that had been learned under errorless and errorful conditions (cf. Ref. [3]).

### 1.1. Errorless learning

Learning often entails a trial-and-error approach (henceforth “errorful” learning, EF), i.e. a number of errors will be

\* Corresponding author. Fax: +49-391-671-1947.

E-mail address: [thomas.muente@med.uni-magdeburg.de](mailto:thomas.muente@med.uni-magdeburg.de) (T.F. Münte).

produced during the learning phase until the correct response is found. These errors are likely to cause interference and ultimately memory failures during subsequent retrieval attempts. In an animal study, Terrace [73] was the first to demonstrate that the number of memory errors during retrieval could be reduced by preventing the generation of wrong responses during the encoding phase (henceforth “errorless” learning, EL) (see also, Refs. [3,30,31,35,51,53,66,69,70,80]). A technique similar to the current study has been used by Baddeley and Wilson [3]: In the *EF condition*, the initial letters of a word (“stem”, e.g. F–L–O) are presented and subjects are encouraged to guess, which word the experimenter has in mind. After one or more “incorrect” guesses (e.g. flood, floor, flour), the experimenter indicates the correct completion (e.g. flower). The purpose of this procedure is to introduce errors during the learning phase. During *EL learning*, on the other hand, the designated correct word is directly provided to the subject at the beginning of each trial and, therefore, this learning method prevents possible incorrect guesses. In a study that compared amnesic patients with normal young subjects and normal elderly subjects, all three subject groups showed better recall after EL than EF learning. This differential effect was most pronounced in the amnesic group, which also had a lower overall performance. What is the reason for the increased memory performance after EL learning? Baddeley and Wilson [3] suggested that the EF condition might lead to more memory errors because the trial-and-error learning procedure enhances the level of activation of incorrect guesses, which then will compete with the activation of the correct item at retrieval. By contrast, the EL condition prevents such competition, because only the correct response gets activated during learning. The interference from multiple activated items after EF learning might be especially detrimental in subjects with memory impairment, as these rely on familiarity based decisions. Both correct and incorrect items are familiar in the errorful learning condition, and only the recollection of the specific aspects of the learning episode helps to keep these items apart.

The necessity to recollect the learning episode for items learned under EF but not under EL conditions places the EF/EL paradigm in the context of source memory studies (see Refs. [33,34] for a review). According to the source-monitoring framework, monitoring processes are applied to old and new items in order to differentiate between them. These operations can be made explicit by asking the subjects to not only decide, whether a given item during retrieval is “new” or “old”, but also to make a secondary decision for the old items that reveals knowledge about the encoding episode (e.g. had it been spoken by a male or female speaker?).

### 1.2. Event-related brain potentials and memory

In recognition memory paradigms, items, e.g. words, that have been encountered before and are classified as old are

associated with different ERP waveforms than items that are “new”. Across studies, three ERP effects distinguishing old and new items have been consistently observed: first a decrease of the amplitude of the N400 component (between 300 and 500 ms); second, an increase of a parietal positive slow wave (between 500 and 800 ms) that has been given different labels such as “late positive component” [16,17,48–50,60], “P300” [15,18], late positivity [14,57,58] or “parietal old/new effect” [56]. Finally, most relevant for the present investigation, different frontally distributed effects have been described, most often taking the form of a right frontal positivity in the 800–1900 ms range [16,17,63,77,78]. With regard to the right frontal positivity, the fact that it has been observed in paradigms that extend beyond simple recognition judgments and involve the retrieval of source information [63,77,78] or require post-retrieval decision-making processes [13,16,77,78] suggests that it could be related to monitoring functions. For example, in a source monitoring task, Wilding and Rugg [77] found a right frontal positivity for those items that were classified as new *and* attributed to the right voice (see also Refs. [39,76,78]). Consequently, this right-frontal ERP effect has been discussed as reflecting retrieval of specific perceptual information regarding the memory source, the fact that such effects have not been found consistently in source memory tasks [63,79] casts some doubt on this association, however. Moreover, Raganath and Paller [52] described a left frontal ERP effect that they interpreted as being due to the requirement to retrieve perceptual detail. Finally, Donaldson and Rugg [14] described two frontal positivities, one early and bilateral, the other occurring later and showing a right-sided maximum, which were differentially related to their task manipulations.

### 1.3. Electrophysiology of action monitoring

Within the last 10 years, ERP investigations of executive processes have focused on error detection and action monitoring. By averaging time-locked to the motor response rather than to the stimulus-onset, a negative component has been isolated appearing immediately after committing errors which therefore has been labeled error-related negativity (ERN) [19,22,23]. A large part of the ERN can be explained by a source in the anterior cingulate cortex [12,25,37]. One model associates the ERN to an error-detection mechanism [20,22], which compares an internal goal (a computed “best response”) with the predicted consequences of the actual response. An internal “error signal” is generated if a mismatch is detected by the system. An alternative interpretation holds that the ERN merely reflects the degree of response conflict experienced by subjects [5,10]. This theory fits well with data showing ERNs or anterior cingulate activity for correct responses [7,38,61,75] and responses showing a higher degree of motor conflict [4,24,74]. Thus, the ERN-conflict detection model points to the “evaluative” nature of the anterior cingulate cortex [8,9]. Thus, the ERN

should be present when conflicting response alternatives are present, which suggests that it should be differentially affected by retrieval decisions for the different stimulus classes in the present study. Interestingly, Nessler and Mecklinger [44] were the first to use the ERN in a memory task. In their study, subjects studied words belonging to two certain taxonomic categories (e.g. cosmetic articles, song-birds). During the retrieval test, these studied words were presented together with two classes of new words: so-called *lure* words, belonging to the same taxonomic categories as the studied items of that experimental block, and true *new* words not having any relationship to the study words. In the response-locked averages, the authors reported an ERN-like component for both true recognitions and false (i.e. *lure*-word) recognition, with the effect being larger for the latter category. Interestingly, the distribution of the ERN-like component in the Nessler and Mecklinger [44] study was more posterior than the typical fronto-central distribution seen in other tasks.

## 2. Method

### 2.1. Sample

Fifteen right-handed volunteers (eight women) between 20 and 26 years of age (mean 24 years) were paid for their participation. All of them were native German speakers, neurologically healthy and had normal or corrected-to-normal vision.

### 2.2. Task description and procedure

The whole session comprised five study-phase/recognition-phase blocks as follows: Study phase: In each study phase 60 words had to be learned, which were pseudo-randomly assigned to the EF or the EL condition. In a typical *EF-trial*, the following instructions were given by the experimenter sitting next to the subject: “I am thinking of a word that begins with the letters B–R–U. Can you tell me some words which begin with these letters...”. A possible first answer could have been “Brust” (*chest*). Upon the first answer, the experimenter immediately required another guess. After guessing some words (usually 2–3), the experimenter told the subject which one of the guessed words was correct or, in case the subject had not produced the desired word, provided the correct answer. The subject then repeated the target word. In this example the possible “correct” candidates could have been “Brust” or “Bruder” (*brother*). Both of these words were produced with 34% probability in a recent German stem completion study (data courtesy Richardson-Klavehn and Düzel, unpublished). Other, lower probability candidates for this letter triplet could have been “Brunnen” (*well*, 13%), “Brunst” (*ardour*, 6%) or “Brutal” (*brutal*, 3%). These words were not used in the scenarios of the retrieval phase.

For half of the subjects one high probability candidate was designated as correct (target), while for the other half of the subjects the second high probability candidate was used. For errorless trials (EL) the instructions were patterned after the following example: “I am thinking of a word that begins with A–N–Z. This word is ‘Anzeige’ (*advertisement*, 53%)”. The subject had to immediately repeat the target word. Notice that “Anzahl” (*number*, 28%) could have been another high probability candidate (with regard to the stem completion results) but was neither mentioned nor guessed in this learning condition. However, this word was presented in the recognition phase as a “new word” for half of the subjects. The other half of the subjects had “Anzahl” as target in the EL condition with “Anzeige” being used as a new word during recognition.

Recognition phase: After a short rest period of 2 to 3 min, the recognition phase began during which ERPs were recorded. During each recognition phase, 120 words were presented one by one in the middle of a video monitor (random SOA 1500–2000 ms, rectangular distribution; 300 ms presentation time). Words were presented in white letters against a black background and subtended 0.5° of visual angle in height and between 1° and 3° in width. For each word, subjects had to signal by pressing one of two buttons whether or not a word had been a “correct” target word during the study phase.

Stimulus selection: Two lists of 150 different three letter-stems were used for the EF and EL conditions, respectively (see Appendix A). The stems selected had at least two high-probability candidates for completion (in all cases the sum of the probability of the two most probable candidates was larger than 0.56; for the chosen 300 stems and 600 word candidates, the mean probability of stem completion using the two most probable candidates was 0.76). The mean frequency of all two most probable candidate words was 42.4 per million as determined by the CELEX database [2]. Thus, for each three-letter stem two candidates were chosen and used to prepare fixed scenarios for the word recognition task. Two lists of 150 stems were prepared and assigned to the EF or EL condition. This assignment was counterbalanced across subjects. Mean stem completion probability for the two candidate words was 0.76 in both lists and the mean word frequencies of the candidate words were 45.4 and 39.5 per million. The assignment of the target candidate for any given stem was also balanced across subjects. All in all there were four learning lists for which candidates were systematically rotated. See the following table for an example: Example for assignment of candidate words to the learning lists

Condition	List A	List B	List C	List D
Errorless target	Brust	Bruder	Anzahl	Anzeige
Errorless non-target	Bruder	Brust	Anzeige	Anzahl
Errorful target	Anzeige	Anzahl	Bruder	Brust
Errorful non-target	Anzahl	Anzeige	Brust	Bruder

The word lists presented during the recognition test comprised 120 words, 30 “target” words from the EL and EF condition each plus the corresponding “non-target” candidate words. Five different pseudo-random scenarios were generated using these 120 words for every recognition phase. In each one the candidate words belonging to the same stem were separated by at least 15 intervening items.

### 2.3. Electrophysiological recording

ERPs were recorded from the scalp using tin electrodes mounted in an electrocap (Electro-Cap International) and located at 29 standard positions (Fp1/2, Fz, F7/8, F3/4, Fc1/2, Fc5/6, Cz, C3/4, T3/4, Cp1/2, Cp5/6, Pz, P3/4, T5/6, Po1/2, O1/2). Biosignals were recorded against a reference electrode placed on the right mastoid and were re-referenced off-line to the mean of the activity at the two mastoid processes. Vertical eye movements were monitored by a bipolar montage. Electrode impedances were kept below 5 k $\Omega$ . The electrophysiological signals were filtered with a bandpass of 0.01–70 Hz (half-amplitude cut-offs) and digitized at a rate of 250 Hz. Trials with base-to-peak electro-oculogram (EOG) amplitude of more than 50  $\mu$ V, amplifier saturation, or a baseline shift exceeding 200  $\mu$ V/s were automatically rejected off-line (percentage of rejected trials was  $\sim$  15% with no difference between conditions). Stimulus-locked ERPs were averaged for epochs of 1700 ms starting 100 ms prior to the stimulus. The factorial combination of learning condition (EF/EL), stimulus type (target/non-target) and response (correct/incorrect) yielded eight different averages:

EF-Hits	correct recognition of target guesses
EF-Misses	non-recognized target guesses
EF-CR	correct rejection of target guesses
EF-FA	false alarms to non-target guesses
EL-Hits	correct recognition of old words
EL-Misses	non-recognized old words
EL-CR(“new words”)	correct rejection of new words (non-target candidate words from the EL condition)
EL-FA	false alarms to new words

Notice that EL-CR is the only category comprising words new to the subject which were correctly classified as such. Therefore, this average will be used as a “baseline” against which the ERPs to the other words will be compared. In addition, response-locked averages starting 400 ms before and extending 624 ms beyond the button-press responses were obtained, again yielding eight different categories. Signal detection measures were used to evaluate learning performance [68]. In addition to the standard measures  $d'$  (accuracy) and beta (response bias), we also computed a measure of precision or “relevance” (ratio of hits and [hits plus false alarms]) used commonly to evaluate the proportion of retrieval items that are

relevant [72]. The statistical analysis was performed using repeated measures designs as specified in the Results section. Target components for the evaluation of the ERPs were the N400 and the late positive component (LPC) in the stimulus-locked averages and the error-related negativity (ERN) in the response-locked average. Several three factor repeated measures ANOVAs were conducted for the evaluation of stimulus-locked ERPs (specified in each case in the Results section) and including (specified in each case) Type of stimuli (e.g. Hits, false alarms, etc.), Learning method (EF vs. EL learning), Hemisphere (right, left) and Anterior/Posterior position as within subject factors. The ANOVAs were conducted separately for data from the parasagittal locations (PS) (7 levels for anterior/posterior factor: Fp1/2, F3/4, FC1/2, C3/4, CP1/2, P3/4, PO1/2) and lateral (LAT) locations (5 levels for anterior/posterior, F7/8, FC5/6, T3/4, CP5/6, T5/6). For the midline locations (MD, Fz, Cz, Pz) only an Anterior/Posterior factor was included in the design. Statistical tests were carried out on mean amplitude measures in specified time-windows. To further investigate interactions including Type of stimuli and learning method, additional ANOVAs restricted to specific electrode sites were carried out.

For all statistical effects involving two or more degrees of freedom in the numerator, the Greenhouse-Geisser epsilon was used to correct for possible violations of the sphericity assumption [32]. The exact  $P$ -value after the correction will be reported. Tests involving electrode  $\times$  condition interactions were carried out on data corrected using the vector normalization procedure [40].

## 3. Results

### 3.1. Performance measures

The performance measures are summarized in Table 1. The EF learning method elicited an increased number of hits

Table 1  
Summary of performance measures

	Hits	Misses	Correct rejections	False alarms
<i>Percentage (S.D.)</i>				
Errorful	69.0 (13)	30.0 (13)	45.2 (17)	53.6 (18)
Errorless	38.8 (13)	60.0 (13)	87.8 (6.8)	10.8 (7.2)
$t$ value	8.12 <sup>c</sup>		– 10.9 <sup>c</sup>	
<i>Reaction time (S.D.)</i>				
Errorful	867 (93)	910 (114)	920 (122)	897 (112)
Errorless	859 (90)	846 (83)	841 (73)	940 (133)
	$d'$	Beta	Precision	
Errorful	0.45 (.35)	0.93 (.27)	0.57 (.07)	
Errorless	1.04 (.37)	2.89 (.33)	0.79 (.09)	
$t$ value	– 8.16 <sup>c</sup>	– 3.57 <sup>b</sup>	– 13.6 <sup>c</sup>	

All paired  $t$ -tests (errorful–errorless) reported had 14 degrees of freedom, <sup>a</sup> $P < 0.05$ , <sup>b</sup> $P < 0.001$ , <sup>c</sup> $P < 0.0001$ .

compared to the EL method. Expectedly, it also led to a greatly increased number of false alarms. While the overall number of detected targets was greater in the EF condition, accuracy, as reflected by the  $d'$  and “precision” measures, indicated a better quality of memory performance in the EL condition.

With regard to the response times, it is noteworthy that EF-CR responses were slower than any of the other types of responses. Consequently, in an ANOVA with Condition (EL vs. EF) and Type of response (hits and correct rejections) as

factors the interaction of these two factors was significant ( $F(1,14)=9.00, P<0.01$ ). In addition, a main effect of Condition was found ( $F(1,14)=16.1, P<0.001$ ) reflecting the overall faster response times in the EL condition (893 vs. 850 ms).

3.2. Stimulus-locked event-related brain potentials (ERPs)

Fig. 1 shows the grand average ERPs from selected scalp sites for both learning modes. Both, EF-Hits and EF-

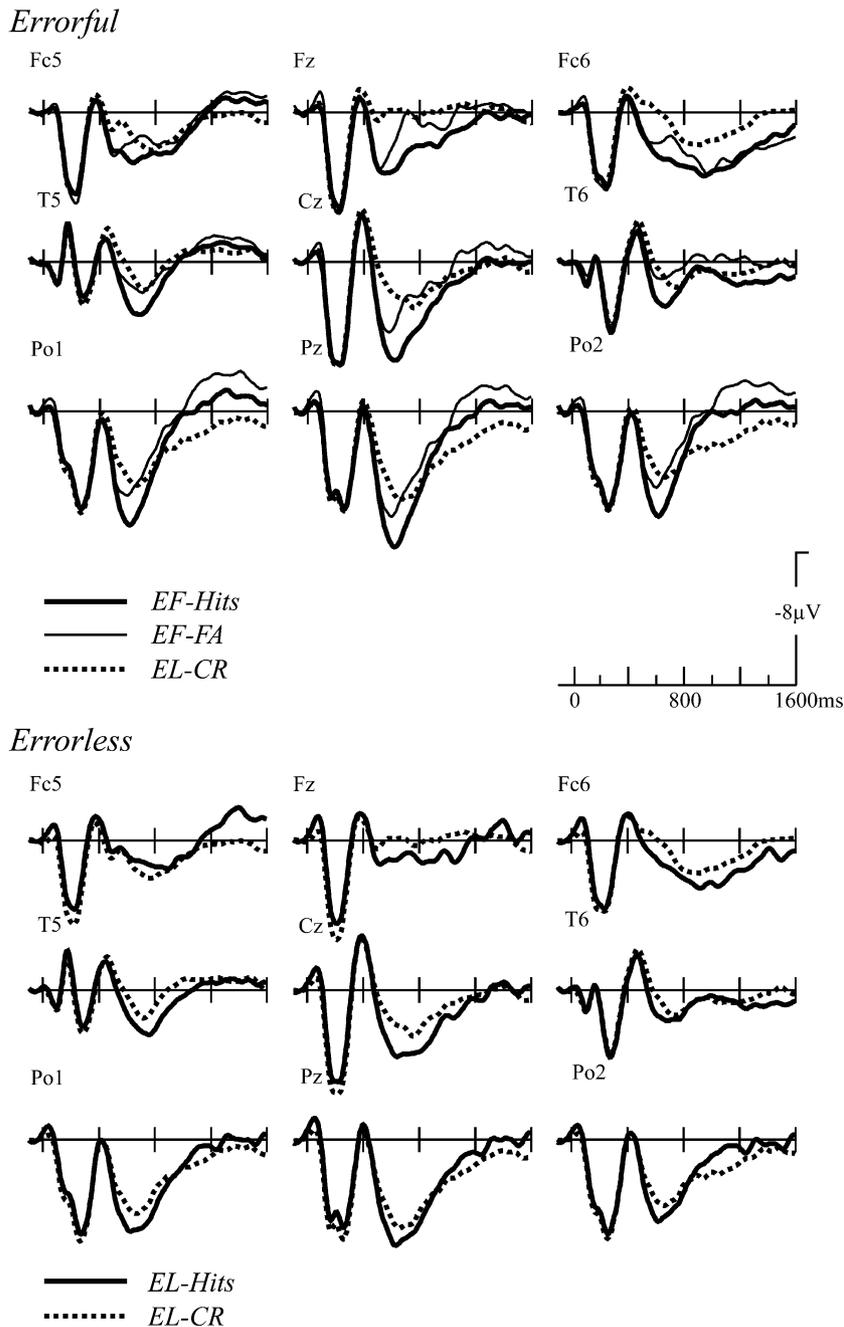


Fig. 1. Grand average ERPs from selected scalp channels. In the top panel hits and false alarms from the EF conditions are compared to EL-CR (correct rejection of new words, i.e. non-target candidate words from the EL condition). Relative to EL-CR both, hits and false alarms, are associated with more positive waveforms. In the lower panel, EL-Hits are compared to EL-CR. Again, a more positive waveform is observed.

Table 2a

Summary of overall ANOVA applied for the parasagittal, lateral, and midline locations comparing Errorful-hits, Errorful false alarms and EL-CR in different time windows

	<i>Df</i>	300– 500 ms	500– 700 ms	700– 900 ms	900– 1200 ms	1200– 1500 ms
<i>Parasagittal</i>						
Type of stimuli (T)	2,28	3.53 <sup>a</sup>	9.8 <sup>d</sup>			
T×Hem	2,28					6.8 <sup>a</sup>
T×Ant	12,168				5.6 <sup>d</sup>	
T×Hem×Ant	12,168				2.74 <sup>a</sup>	3.0 <sup>a</sup>
<i>Lateral</i>						
Type of stimuli (T)	2,28	5.13	13.9 <sup>d</sup>		3.6 <sup>a</sup>	
T×Hem	2,28					5.5 <sup>a,nc</sup>
T×Ant	8,112					
T×Hem×Ant	8,112				3.0 <sup>a,nc</sup>	
<i>Midline</i>						
Type of stimuli (T)	2,28	3.8 <sup>a</sup>	9.6 <sup>d</sup>			
T×Ant	4,56			3.4 <sup>a</sup>	11.1 <sup>d</sup>	3.93 <sup>a</sup>

Values inside cells correspond to the *F* values; <sup>a</sup>*P*<0.05; <sup>b</sup>*P*<0.01; <sup>c</sup>*P*<0.005; <sup>d</sup>*P*<0.001. <sup>nc</sup>The corresponding interaction tested which includes electrode sites did not show a significant value after vector normalization (*P*>0.05; *F* values reported correspond to the non-normalized data). Blank cells in the table were not significant (*P*>0.05). Main effects of hemisphere (Hem) and anterior–posterior location (Ant) have been left out for sake of brevity.

FA items, were associated with a more positive waveform relative to EL-CR starting at about 400 ms and peaking at about 600 ms. While this old/new effect is clearly present in the EF-FA items, i.e. those items that had been “incorrectly” guessed during EF learning, it was considerably larger in the EF-Hits stimuli. It is noteworthy, that the early portion of the old/new effect in EF-Hits and EF-FA was virtually identical and that the two waveforms started to diverge at approximately 500 ms. These waveforms were compared by a set of ANOVAs carried out on mean amplitude measures in different successive time-windows summarized in Table 2a. These ANOVAs were followed up by pairwise comparisons. The pairwise comparison EF-Hits/EL-CR was significant for between 300 and 700 ms (all *df*(1,14)<sup>1</sup>: 300–500 ms: *F*=6.62, *P*<0.05; 500–700 ms: *F*=8.7, *P*<0.001; 700–900 ms: *F*=3.29, *P*>0.1; 900–1200 ms: *F*=0.28, n.s.; 1200–1500 ms: *F*=0.41, n.s.). A similar pattern was observed for the comparison EF-FA/EL-CR (300–500 ms: *F*=11.8, *P*<0.01; 500–700 ms: *F*=4.7, *P*<0.05; 700–900 ms: *F*=0.29, n.s.; 900–1200 ms: *F*=0.99, n.s.; 1200–1500 ms: *F*=2.13, n.s.), while EF-Hits/EF-FA yielded a significant effect only in the 600–800 ms time-window (*F*=5.92, *P*<0.03). The lower part of Fig. 1 compares

Table 2b

Errorless-hits against EL-CR (errorless correct rejections)

	<i>df</i>	300– 500 ms	500– 700 ms	700– 900 ms	900– 1200 ms	1200– 1500 ms
<i>Parasagittal</i>						
Type of stimuli (T)	1,14					
T×Hem	1,14					5.4 <sup>a</sup>
T×Ant	6,84					
T×Hem×Ant	6,84		4.9 <sup>b</sup>	4.1 <sup>a</sup>	3.6 <sup>a</sup>	4.7 <sup>a</sup>
<i>Lateral</i>						
Type of stimuli (T)	1,14					
T×Hem	1,14	5.5 <sup>a</sup>	5.2 <sup>a</sup>			7.1 <sup>a</sup>
T×Ant	4,56					
T×Hem×Ant	4,56		3.2 <sup>a</sup>			
<i>Midline</i>						
Type of stimuli (T)	1,14					
T×Ant	2,28				4.3 <sup>a,nc</sup>	

Values inside cells correspond to the *F* values; <sup>a</sup>*P*<0.05; <sup>b</sup>*P*<0.01; <sup>c</sup>*P*<0.005; <sup>d</sup>*P*<0.001. <sup>nc</sup>The corresponding interaction tested which includes electrode sites did not show a significant value after vector normalization (*P*>0.05; *F* values reported correspond to the non-normalized data). Blank cells in the table were not significant (*P*>0.05). Main effects of hemisphere (Hem) and anterior–posterior location (Ant) have been left out for sake of brevity.

EL-Hits and EL-CR. Visual inspection suggests the presence of a typical old/new effect. This effect was captured statistically by significant interaction effects (Type by hemisphere by anterior/posterior) as shown in Table 2b.

EF-Hits were significantly more positive than that to EL-Hits (see Fig. 2A) indicating a more pronounced old/new

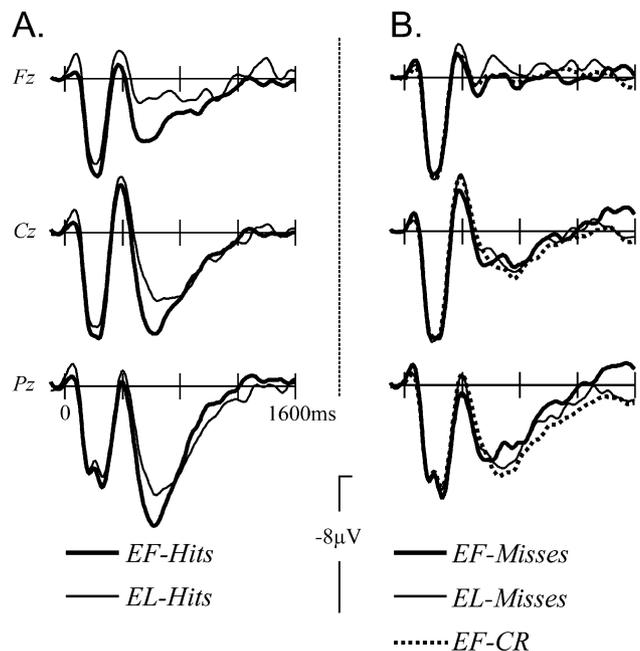


Fig. 2. (A) A direct comparison of ERPs to hits for both learning methods. The increased LPC component in the EF condition reflects the deeper encoding of target words guessed during the study phase. (B) Miss trials from both learning conditions are compared to EL-CR trials.

<sup>1</sup> For the sake of brevity, only the pairwise comparisons for the midline electrodes are shown here. Similar results were obtained for the parasagittal electrodes.

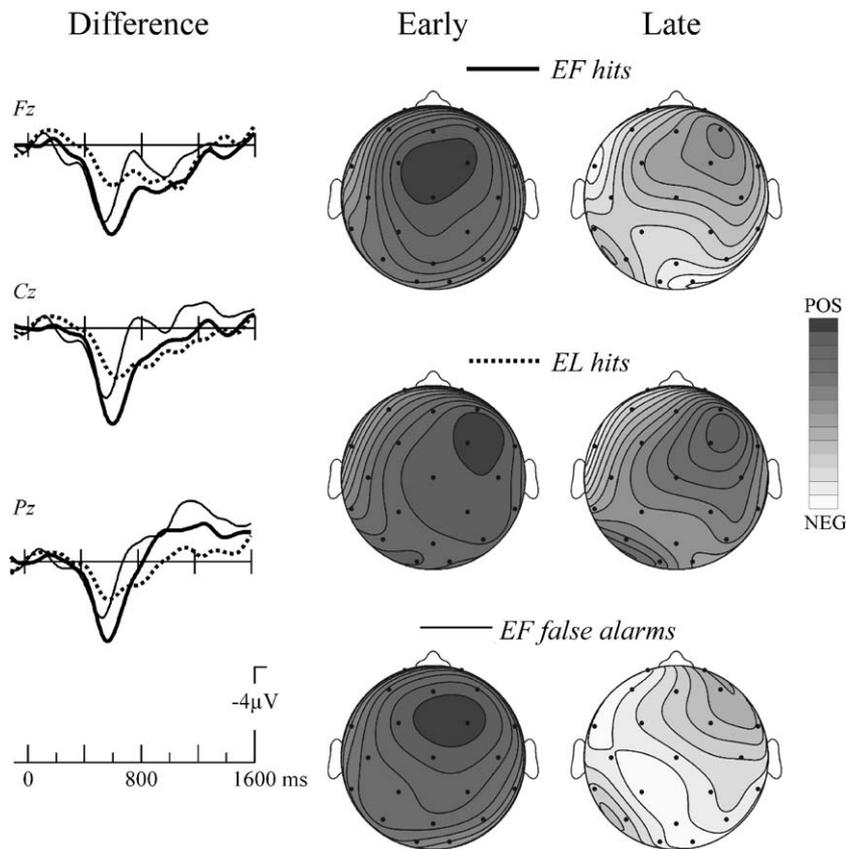


Fig. 3. Difference waveforms computed by subtracting the ERPs of EF-Hits, EF-FA and EL-Hits from the baseline condition EL-CR. Notice the reduction of amplitude and later onset of the positivity for EL-Hits compared to both EF categories. The spline interpolated isovoltage maps depict the mean amplitude in the time-windows 555–595 ms (early) and 715–735 ms (late).

effect in this condition (all  $df(1,14)$ ; midline, 300–500 ms:  $F=8.23, P<0.05$ ; 500–700 ms:  $F=9.92, P<0.01$ ; 700–900 ms:  $F=0.34, n.s.$ , 900–1200 ms:  $F=0.03, n.s.$ ; 1200–1500 ms:  $F=0.01, n.s.$ ; parasagittal, 300–500 ms:  $F=13.6, P<0.001$ ; 500–700 ms:  $F=23.1, P<0.0003$ ; 700–900 ms:  $F=1.25, n.s.$ ; 900–1200 ms:  $F=0.01, n.s.$ ; 1200–1500 ms:  $F=0.02, n.s.$ ; temporal, 300–500 ms:  $F=9.83, P<0.01$ ; 500–700 ms:  $F=32.2, P<0.001$ ; 700–900 ms:  $F=6.46, P<0.05$ ; 900–1200 ms:  $F=7.66, P<0.02$ ; 1200–1500 ms:  $F=5.6, P<0.05$ ).

By contrast no significant differences were noticeable between the ERPs to the EF-Misses and EL-Misses (Fig. 2B; midline, parasagittal, temporal electrode sets, for all time-windows between 300 and 1500 ms, all  $F(1,14) < 1.6$ , all  $n.s.$ ). To further illustrate the old/new effect, difference waves were computed by subtracting the EF-Hits, EL-Hits, and EF-FA ERPs from the new word (EL-CR) baseline condition. These are illustrated in Fig. 3 together with topographical isovoltage maps. The old/new effect occurred somewhat earlier in the EF-FA and EF-Hits condition compared to the EL-Hits condition. Moreover, while a frontal maximum was found in the earlier time-window for all three difference waves, the distribution in the EL-Hits condition showed a clear right preponderance. These topographical differences were fol-

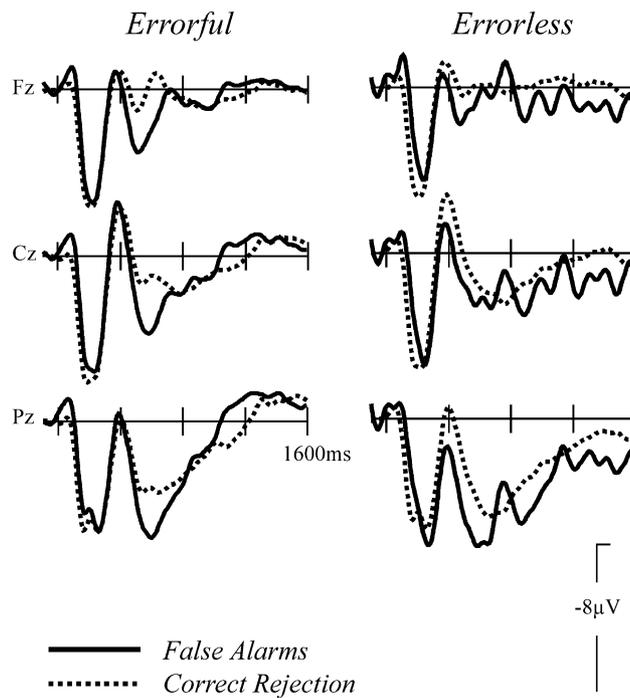


Fig. 4. Comparison of ERPs to false alarms (non-target words classified as targets) and correct rejections (non-target words classified as non-targets) for both learning methods.

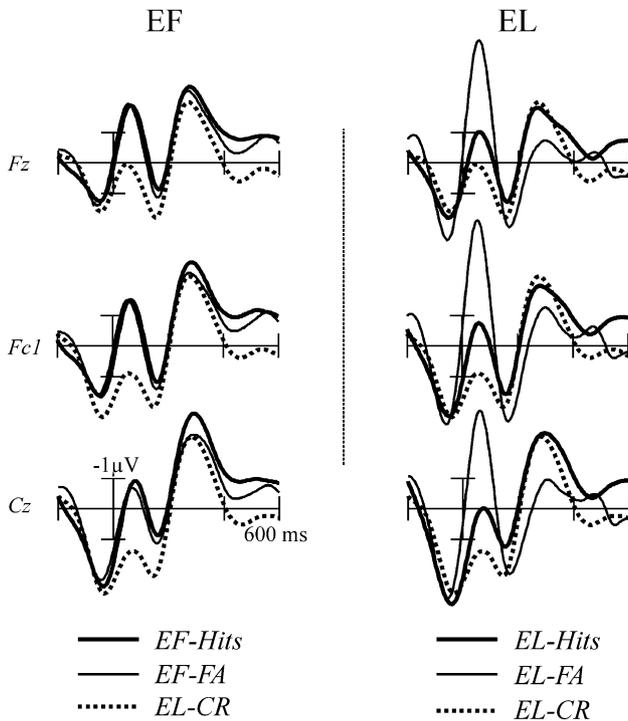


Fig. 5. Response-locked averages showing hits and false alarms in both learning conditions. The baseline condition EL-CR is shown for comparison. Notice the increased amplitude of hits (correctly recognized words) and false alarms (erroneous trials) compared to the baseline condition. ERPs shown were adjusted according to the mean amplitude in the  $-300$  to  $-50$  ms interval. Note that this baseline is not shown completely.

lowed up by computing an ANOVA with condition (EF-Hits, EF-FA, EL-Hits) and electrode (29 positions) as factors. The ANOVA was performed after application of the vector-normalization procedure [40]. The interaction between condition and electrode was significant (all  $df(56,789)$ ;  $500-600$  ms  $F=2.22$ ,  $P<0.05$ );  $600-700$  ms:  $F=1.90$ ,  $P<0.05$ ;  $700-800$  ms:  $F=2.43$ ,  $P<0.01$ ) suggesting that indeed the distributions of the three difference waves are different.

Fig. 4 illustrates the comparison between false alarms and correct rejections for both learning conditions. The EF-FA items were associated with a more positive waveform with an onset around  $400$  ms, which was significant in the  $300-500$  ms time-window (midline,  $F=10.22$ ,  $P<0.01$ ; parasagittal,  $F=11.24$ ,  $P<0.01$ ). This pattern is not present in the EL condition (all  $F<1.1$ ).

### 3.3. Response-locked ERPs

In order to better delineate the response-related negative components, response-locked averages were high-pass filtered ( $1$  Hz half amplitude cut-off). Such a filter has been used previously in studies on the error-related negativity [54] and attenuates the amplitude of the late positive component overlapping the response-related neg-

ativity. The mean amplitude in the time-window between  $-300$  and  $-50$  ms before the response was used to realign the response-locked ERPs. Figs. 5 and 6 illustrate the response-locked waveforms. The corresponding topographical distributions can be derived from Fig. 7. Two different negative peaks are clearly visible in these waveforms. The first component shows a peak at about  $70$  ms and is maximal at frontocentral locations. It thus resembles the topographical distribution of the error-related negativity (ERN). The second negative component has a peak around  $320$  ms and a clearly different topographical distribution with a maximum at parietal sites. Note that these two components are also visible, albeit “smeared out in time”, in some of the stimulus-locked averages (Figs. 1, 2, and 4).

Because of the midline maximum of the response-locked ERPs, statistical analyses were restricted to midline locations. ANOVA-results can be derived from Table 3. These were followed up by pairwise comparisons as specified in the following paragraphs (all  $df(1,14)$ ).

In the EF condition, both EF-Hits and EF-FA are associated with an ERN, which is not present in the EL-CR trials (Fig. 5, left column). The first negative peak was assessed by a mean amplitude measure (interval between  $0$  and  $100$  ms) at midline locations (Fz, Cz, Pz). This showed a significant difference between EF-Hits and EL-CR ( $F=9.04$ ,  $P<0.01$ ) and EF-FA and EL-CR ( $F=6.22$ ,  $P<0.03$ ). No differences were found between EF-Hits and EF-FA ( $F<1$ ). In the EL condition, EL-Hits were associated with an ERN-like negativity, which approached statistical significance ( $F=0.01$ ,  $P=0.1$ , compared against EL-CR). EL-FA trials clearly showed the highest amplitude of the ERN-like component and statistical comparison was significant when compared to EL-CR ( $F=16.28$ ,  $P<0.005$ ) as well as to EL-Hits ( $F=9.90$ ,  $P<0.01$ ).

In Fig. 6, correct rejections (i.e. correct trials) are compared to false alarms (i.e. error trials) for both learning conditions. In both conditions, correct rejections were associated with a much smaller amplitude of the negative component compared to false alarms. In an

Table 3  
Response-locked ERPs, statistical effects

	<i>df</i>	50–100 ms	100–200 ms	200–300 ms
<i>Midline locations</i>				
Learning mode (L)	1,14			
Type of stimuli (T)	2,28	12.9 <sup>d</sup>	3.4 <sup>a</sup>	
L × T	2,28	6.43 <sup>c</sup>		9.6 <sup>d</sup>
L × Ant	2,28			
T × Ant	4,56			
L × T × Ant	4,56			

Values inside cells correspond to the  $F$  values, <sup>a</sup> $P<0.05$ ; <sup>b</sup> $P<0.01$ ; <sup>c</sup> $P<0.005$ ; <sup>d</sup> $P<0.001$ . Blank cells in the table were not significant ( $P>0.05$ ). Learning mode (Errorless vs. Errorful); Type of stimuli (Hits, Correct Rejections, False alarms); Ant/Posterior (Fz, Cz, Pz).

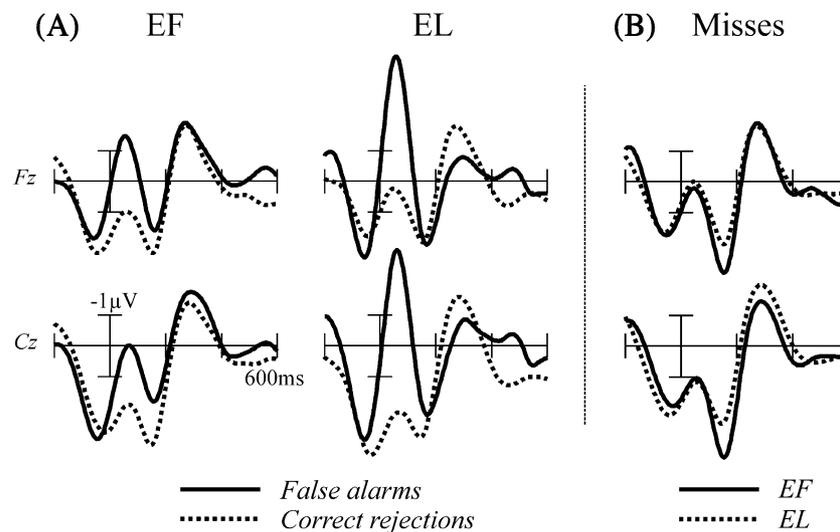


Fig. 6. (A) Response-locked averages comparing correct rejections vs. false alarms in both EF and EL conditions. An increased negativity for the false alarms (error trials) compared to the correct rejections is present in particular in the EL condition. (B) Miss-trials from both learning conditions. ERPs shown were adjusted according to the mean amplitude in the  $-300$  to  $-50$  ms interval. Note that this baseline is not shown completely.

ANOVA with Learning mode and Type of response (CR and FA) as within subject factors (midline electrodes, 0–100 ms), no main effect of Learning mode was obtained ( $F=3.38$ ,  $P>0.08$ ). The larger ERN in false alarm trials was reflected by a main effect of Type of response ( $F=19.65$ ,  $P<0.001$ ). The interaction between Learning and Type of trial was not significant ( $F(1,14)=2.77$ ,  $P>0.12$ ).<sup>2</sup>

The second negative peak was quantified by a mean amplitude measure (200–300 ms, midline locations). An ANOVA (see Table 3) revealed no main effects of Learning mode and Type of trial but a significant interaction effect. This interaction reflects the decreased amplitude of the second negativity for EL-FA trials relative to the other conditions.

#### 4. Discussion

The present investigation is aimed at the delineation of brain responses that might index the activity of an error checking system in episodic retrieval as suggested by several authors [6,42,46,62,64]. To this end we investigated ERPs elicited by items learned under errorful or errorless conditions. Clear behavioral and electrophysiological differences emerged between both conditions. The most important result of the present study is that a negative component akin the ERN was obtained in the response-locked averages which appears to index the activity of the error checking system, as we will argue below. First, however, we would like to discuss the

behavioral effects and the stimulus-locked ERPs. Behaviorally, the manipulation of errorful vs. errorless encoding had profound consequences. The accuracy and precision of memory performance, as indexed by  $d'$  and precision measures of signal detection theory, was considerably improved for items that had been acquired under errorless conditions. Thus, the experimental manipulation, in principle, has been successful. The overall number of detected targets was greater under errorful conditions, however, and this condition was also associated with a greatly enhanced rate of false alarms. This difference in the number of hits between the two conditions suggests that items in the errorful conditions were encoded more deeply and that a level of processing manipulation (cf. Ref. [11]) might have been introduced. Indeed, the time needed for guessing the correct word during EF was considerably longer than the time taken to present a word during EL. This interpretation is also supported by the results for the stimulus-locked brain potentials. ERPs to EF-Hits were more positive than those to EL-Hits (Fig. 2A). In previous studies explicitly manipulating the level of processing at encoding, the LPC but not the N400 portion of the old/new effect was enhanced for deeply encoded items [47,48,57]. A second ERP-result of interest concerns the false alarm trials from the EF-condition. This category comprised words that had been produced by the subject during the learning phase but had been labelled as “incorrect” by the experimenter. These words were associated with an increased positivity between 400 and 700 ms compared to EL-CR. Interestingly, EF-Hits and EF-FA began to differ after 600 ms. Thus, EF-FA were characterized by an old/new effect but the amplitude of this effect was significantly reduced relative to EF-Hits between 600 and 800 ms. A similar effect is absent for the EL condition in which false alarms pertained to words

<sup>2</sup> In a further ANOVA analysis with Learning mode and Response type (CR vs. Miss), no main or interaction effects were obtained.

that had not been encountered during encoding. Thus, the pattern in the EL-condition is similar to other studies that did not report old/new effects for false alarms (e.g. [67,79]). In other words, the old/new effect for EF-FA appears to be related to the specific learning method used. With regard to the distribution of the old/new effects in the present study (Fig. 3), it is noteworthy that all three conditions (EF-Hits, EF-FA, EL-Hits) showed a frontal maximum. Only the distribution of the EL-Hits condition, however, showed a right frontal maximum, while the other two had a more bilateral condition. Together with the earlier onset latency of the old/new effect in EF-Hits and EF-FA, this suggests that a significant contribution to the effect in these conditions come from the modulation of the N400 component (cf. Ref. [41]), implying familiarity based recognition. Note that right-frontal effects similar to the one seen in the EL-Hits condition have been reported in a number of recent experiments. For example, Wilding and Rugg [77] described a right-frontal component in a source retrieval paradigm. In this type of task, subjects are required to make a double decision, i.e. an old/new judgment followed by a decision requiring access to the specific learning episode (source judgment). Wilding and Rugg [77] proposed that this component reflected “post-retrieval operations” on the retrieval products (see also Ref. [14]). Düzel et al. [16] reported a similar effect in an experiment using the remember/know paradigm. While no final conclusion about the nature of this effect has been reached, it appears to be clear that it is associated to the evaluation of the quality of the retrieved memory trace. In fact, the present task taxed the monitoring device to a greater extent than standard old/new tasks, as the subject not only had to decide for each word whether it was “old” but also whether or not it had been a “target” during encoding. Thus, a situation similar to the source memory paradigm is created. Interestingly, recent neuroimaging studies showed differential activity of right prefrontal cortex in episodic memory retrieval processes (see for a review Refs. [21,59]). Some of them have proposed that the right dorsolateral and anterior prefrontal cortex supports monitoring operations during episodic retrieval tasks [1,26,27,28,65]. Likewise, an fMRI study by Herrmann et al. [29] revealed right prefrontal activations for words that had been intensively studied but were then marked as non-targets and had to be rejected during retrieval. The involvement of right frontal cortex in the monitoring and evaluation of errors during retrieval is also suggested by neuropsychological studies showing that repetition errors in free recall tend to occur in patients with right dorsolateral frontal damage [71].

Most important with regard to the proposed error-checking mechanism during memory retrieval [6,42,43,46,62,64] are the response-locked averages. Morphology, peak latency and distribution (see Fig. 7) of the negative component occurring after the response are identical to that of the

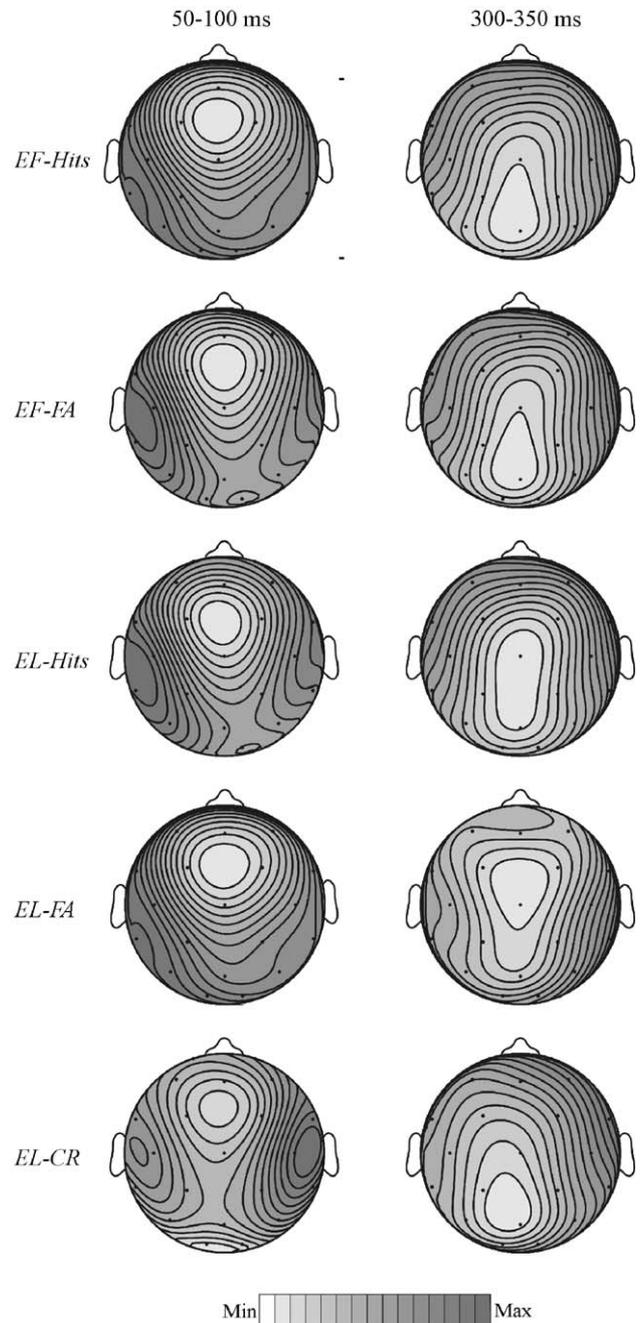


Fig. 7. Response-locked spline interpolated isovoltage maps. In the 50–100 ms time window, the typical frontocentral midline distribution of the error-related negativity is observed, while in the 300–350 ms interval, a more posterior distribution is seen. Note that relative scaling was used (maximum and minimum values in  $\mu\text{V}$  for each map from top to bottom at 50–100 ms:  $-1.5 \text{ V}/-5.7$ ,  $-0.3/-2.9$ ,  $0.03/-3.0$ ,  $-1.5/-5.7$ ,  $-0.8/-1.4$ ; at 300–350 ms:  $-0.5/-5.2$ ,  $-0.7/-4.4$ ,  $-0.6/-5.0$ ,  $-0.05/-3.1$ ,  $-0.3/-4.8$ ).

error-related negativity, a component that has been originally described following erroneous responses [19,22,23]. In subsequent studies it has also been found for correct responses under certain circumstances [10,38,61,75]. Clear and unequivocal ERNs of varying size are present EF-Hits, EF-FA, EL-Hits and EL-FA, while the ERN is small to

absent in the CR and Miss trials from both conditions. This pattern of results can be explained with regard to the response conflict model of the ERN [5,7]. This model holds that the degree to which a “conflict monitor” is activated depends on the product of the current activations for each of two concurrently available response alternatives: Thus, if the retrieval process indicates that the subject has encountered a particular stimulus before, as in the Hit and FA trials, it has to be checked whether this item is indeed correct or not. Note that in the current experiment for items coming from the errorful learning process, two responses (target or non-target) are likely to be activated to a similar degree, whenever an item seemed familiar. In this case, the response conflict model would predict a sizeable ERN, which was indeed the case in the actual data. Trials from the EL- and EF-learning modes occurred intermixed during the study phase. This should lead to a great activation of the target-response but at the same time to some rudimentary activation of the non-target response in the EL-Hit trials, thus resulting in an ERN. The fact that the EL-FA trials are associated with the largest ERN component might appear somewhat puzzling. Recall, however, that the EL-FA trials concerned items that were not encountered during the study phase and therefore should give rise to a greater activation of the “no-target” response that would compete with the actual “target”-response given by the subject.

Can the response conflict model also explain the ERN-results for the “no”-responses? Here, regardless of whether or not the subject was right (CR) or not (Miss), very small or absent ERNs were obtained. This is somewhat puzzling, because in the EF-CR, EF-Miss and EL-Miss trials the subjects had encountered the particular item during the study phases. However, if one considers that the error checking mechanism might only come into play, if the output of the retrieval process exceeds a certain threshold, the pattern of results can be explained. In other words, if the retrieval process does not yield a result, this appears to lead to unambiguous activation of the “no”-response and no ERN is emitted. The current study is only the second instance of a report of an ERN-(like)-component in a memory task. Recently, Nessler and Mecklinger [44] have reported a similar effect, which, in contrast to the current study, was more posteriorly distributed however. These authors speculated that the difference to the classical fronto-central distribution of the ERN found in perceptual tasks might be due to the fact that different portions of the anterior cingulate cortex might have been recruited in their task, possibly related to more evaluative processes. At this point we are unable to pinpoint the exact factors that might be responsible for the topographical differences between the current and the Nessler and Mecklinger study. Interestingly, a second negative peak following the ERN was observed in the current study. The scalp maximum of this second peak is centroparietal and clearly different from that of the ERN. While a selective amplitude reduction of this peak was

found for EL-FA trials, determination of its functional significance must await further studies.

To conclude, the current study demonstrates that stimulus-locked and response-locked ERPs can be used to distinguish different monitoring stages implied in episodic memory retrieval. Combined with other neuroimaging techniques, future studies will need to address the contribution of different frontal areas in monitoring, evaluating, and verifying recovered memory traces in accordance to the goals of the memory task.

## Acknowledgements

We thank A. Richardson-Klavehn and E. Düzel for generously sharing their stem-completion data. ARF received support from the Spanish government. Also supported by grants from the DFG and BMBF to TFM.

## Appendix A. Word candidates used in the stem completion task (selected from unpublished data of Richardson-Klavehn and Düzel)

LIST A. Ableitung Ablage/Oper Operation/Artikel Artist/Bach Backen/Becher Becken/Bonbon Bonus/Billig Bild/Bier Biene/Bügel Bügeln/Daumen Dauer/Brötchen Brösel/Bote Botschaft/Chemie Chef/Doktor Dokument/Dick Dicht/Durst Durch/Eigen Eignung/Ehre Ehrlich/Elite Elipse/Erhaben Erhalten/Dusche Dussel/Epoche Epos/Ernte Ernst/Experiment Experte/Fahren Fahne/Feuer Feudal/Fistel Fisch/Frage Frau/Fuss Fussel/Fibel Fiber/Fördern Förster/Gabel Gabe/Frust Frucht/Gitter Gitarre/Gondel Gong/Hager Hagel/Giebel Giessen/Gosse Gospel/Grübeln Grün/Hammer Hammel/Hecht Hecke/Hobel Hobby/Hose Hospital/Hülle Hülse/Hitze Hitliste/Honig Honorar/Hund Hunger/Inzucht Inzest/Jubel Jubiläum/Kahn kahl/Kader Kadaver/Kappe Kapsel/Kiefer Kies/Kammer Kamm/Kessel Kess/Kissen Kiste/Knochen Knoten/Kippe Kippen/Korb Korke/Kauen Kaufen/Kirsche Kirche/Klug Klumpen/Kultur Kuli/Land Lanze/Kuchen Kuckuck/Labor Laben/Latte Laterne/Kosmos Kosten/Kuss Kuschehn/Lamm Lampe/Loge Logisch/Linse Links/Loch Locke/Leder Ledig/Liebe Liege/Lokomotive Lokführer/Matte Matt/Melken Meldung/Mimik Mimose/Mollig Molke/Most Moslem/Mode Modern/Motte Motor/Messer Messe/Mobil Mobbing/Morgen Mord/Mund Munter/Mütter Mütze/Nippel Nippen/Nebel Neben/Nest Nessel/Murren Murren/Nach Nackt/Obdach Obdachlos/Patient Patent/Original Orient/Pochen Pocken/Punsch Punkt/Rache Rachen/Requiem Requisite/Regen Regel/Orakel Orange/Rock Rochen/Ruck Rucksack/Sack Sache/Rubin Rubrik/Rute Rutsche/Robbe Robe/Sediment Sedieren/Skat Skandal/Sand Sanieren/Semmel Seminar/Saal Saat/Salz Salbe/Soft Sofort/Skelett Sketch/Segen Segel/Sinn Singen/Soda Sodbrennen/Telefon Teller/Takt Taktik/Suite Suizid/Tapete Tapfer/Tennis Tenne/Umgang Umgebung/Vene Ven-

til/Uran Uralt/Vital Vitamine/Wahn Wahnsinn/Wade Wadi/  
wucht wucher/Zauber Zaun/Wedeln weder/Zisterne  
Zischen/Würgen Würfel/Zeche Zecke/Zwang zwar/Zirkel  
Zirkus/Zunge Zunder/Panne Panzer/Pedal Pedant/Vetter  
Veteran/Pyramide Pyromane/Zappeln Zapfen/Pfund  
Pfusch/Vehikel Vehement/Witz Witwe/Poker Pokal/Turnen  
Turm/Surfen Surren.

LIST B. Abnehmen Abnahme/Abzeichen Abzug/Atlas  
Atlantik/Anzeige Anzahl/Bahn Bahre/Bohne Bohrer/Bibel  
Biber/Birke Birne/Blase Blasen/Bündel Bündnis/Defekt  
Definition/Burg Bursche/Bürger Büro/Deutsch Deuten/  
Denken Denn/Drachen Drache/Dutt Dutzend/Edel Eden/  
Eiter Eitel/English Enge/Dünn Düne/Echt Echo/Erker  
Erkennen/Etage Etat/Fach Fackel/Faul Faust/Firma First/  
Flöte Flöhe/Fröhlich Frösche/Galle Galgen/Filter Film/  
Frisch Frist/Globus Glocke/Gatte Gatter/Glück Glühwein/  
Gurke Gurt/Heft Hefe/Girlande Giraffe/Greifen Greis/Hafen  
Hafer/Heben Hebamme/Hirse Hirsch/Hopfen Hopsen/Hufe  
Hufeisen/Henne Henkel/Hoheit Hohn/Hummer Hummel/  
Hütte Hüte/Ingenieur Ingwer/Kachel Kacke/Kaktus Kaker-  
lake/Kaiser Kaimauer/Kegel kegeln/Jauche Jauchzen/Keim  
Keil/Kichern Kicken/Knabe Knast/Kopf Koppel/Knebel  
Knete/Krebs Kreide/Kehle Kehren/Klage Klammer/Krone  
Krokodil/Kupfer Kuppel/Kruste Krug/Kummer Kumpel/  
Lage Lager/Orchester Orchidee/Kunde Kunst/Lachen  
Lack/Liste List/Netz Nett/Literatur Liter/Laufen Laut/Lesen  
lesbisch/Lodern Loden/Lunge Lunte/Meckern Mechanik/  
Miete Mieder/Mohn Mohr/Moor Moos/Miktion Mikado/  
Moped Mops/Münze Münster/Mögen Möglich/Mond Mon-  
tag/Mühe Mühle/Mürbe Mürrisch/Nase Nass/Nagel Nager/  
Neid Nein/Niete Niemand/Mutter Mutig/Narbe Narr/Ober  
Oben/Person Perle/Pfote Pforte./Podest Podium/Putzen  
Pute/Rampe Rammen/Reue Reuse/Rennen Rente/Religion  
Relief/Route Rouge/Rüssel Rüstig/Rodeln Roden/Ruhe  
Ruhm/Riese Riemen/Rose Rost/Silbe Silber/Saft Safari/Sat-  
tel Satt/Russisch Russ/Sahne Sahara/Smart Smaragd/Sieb  
Sieben/Sohle Sohn/Sekunde Sekt/Sitzen Sitte/Sorge Sorte/  
Tief Tier/Tempel Tempo/Tagung Tage/Thymian Thymus/  
Ticken Tick/Tüll Tülle/Umsatz Umstand/Vieh Viel/Vokal  
Vokabel/Volumen Voll/Wolke Wolle/Zange Zanken/Watte  
Watt/Wurzel wurm/Zittern Zitat/Zahn Zahl/Zusammen  
Zusatz/Zimmer Zimt/Zucker Zucht/Zeit Zeitung/Thema  
These/Wecker Wechsel/Tanne Tanzen/Pause Pauken/Quote  
Quotient/Pille Pilz/Pavian Pavillon/Prüfung Prügel/Piste  
Pissen/Teig Teich.

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